

12-03-01

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEE

Serial No.: Not yet assigned

Filing Date: Filed herewith

For: SYSTEMS AND METHODS TO
FACILITATE ANALYSIS OF A
COMMERCIAL MORTGAGE BACKED
SECURITY PORTFOLIO BASED ON A
CONTRIBUTION OF AN ADDITIONAL
MORTGAGE LOAN

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Attorney Docket No.: G04.009



Box PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)**

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **SYSTEMS AND METHODS TO FACILITATE ANALYSIS OF A COMMERCIAL MORTGAGE BACKED SECURITY PORTFOLIO BASED ON A CONTRIBUTION OF AN ADDITIONAL MORTGAGE LOAN**

and invented by: **LEE**

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 28 pages and including the following:
 - a. ☒ Descriptive Title of the Invention

- b. ☐ Cross references to Related Applications (*if applicable*)
- c. ☐ Statement Regarding Federally-sponsored Research/Development
- d. ☐ Reference to Microfiche Appendix (*if applicable*)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (*if drawings filed*)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure
- 3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. ☒ Formal Number of Sheets 14
 - b. ☐ Informal Number of Sheets
- 4. ☒ Oath or Declaration
 - a. ☒ Newly executed (*original or copy*) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the Prior Application, see 37. CFR 1.63(d)(2) and 1.33(b).
- 5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ✓ 6. ☒ Assignment Papers (*cover sheet & documents(s)*)
- 7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
- 8. ☐ English Translation Document (*if applicable*)
- 9. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
- 10. ☐ Preliminary Amendment
- 11. ☒ Acknowledgement Postcard
- 12. ☒ Mailed Via
 - ☐ First Class ☒ Express Mail (*Specify Label No.*) **ET029934432US**
- 13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- 14. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
- 15. ☐ Additional Enclosures: _____

FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	26	- 20	6	\$ 18.00	\$ 108.00
Indep. Claims	5	- 3	2	\$ 84.00	\$ 168.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)					\$ 0.00
BASIC FEE					\$ 740.00
OTHER FEE (specify purpose): Assignment Recordation					\$ 40.00
TOTAL FILING FEE					\$1,056.00

A check in the amount of **\$1,056.00** is enclosed.

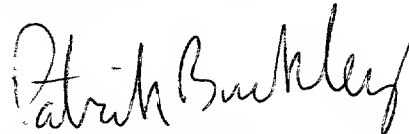
In addition, the Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.



Credit any overpayment.

Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,



November 29, 2001

Date

Patrick J. Buckley
 Registration No. 40,928
 Buckley, Maschoff, Talwalkar, & Allison LLC
 5 Elm Street
 New Canaan, CT 06840
 (203) 972-0191